Fi	II in this information to identify the	case:		
U	nited States Bankruptcy Court for the:			
<u>N</u>	lorthern District of Ci	ate)		
С	ase number (If known):	Chapter <u>11</u>		Check if this is an amended filing
V If m	nore space is needed, attach a sepa	for Non-Individu	of any additional pages, write t	he debtor's name and the case
1.	Debtor's name	Professional Financial Invest	tors, Inc.	
2.	All other names debtor used in the last 8 years	N/A		
	Include any assumed names, trade names, and doing business as names			
3.	Debtor's federal Employer Identification Number (EIN)	68-0233228		
4.	Debtor's address	Principal place of business	Mailing a of busine	ddress, if different from principal place
		350 Ignacio Blvd.	Number	Ctroot
		Number Street	Number	Street
		Suite 300	P.O. Box	
		Novato CA 94	949	

5. Debtor's website (URL)

Case: 20-30604

https://www.investorspfi.com/

CA

State

Novato

Marin County

City

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City

Number

City

ZIP Code

State

Location of principal assets, if different from

State

principal place of business

Street

ZIP Code

ZIP Code

ebi	or Professional Financial	Investors, Inc. Case number (if known)
6.	Type of debtor	Corporation (including Limited Liability Company (LLC) and Limited Liability Partnership (LLP)) Partnership (excluding LLP) Other. Specify:
7.	Describe debtor's business	A. Check one: Health Care Business (as defined in 11 U.S.C § 101(27A)) Single Asset Real Estate (as defined in 11 U.S.C. § 101(51B)) Railroad (as defined in 11 U.S.C. § 101(44)) Stockbroker (as defined in 11 U.S.C. § 101(53A)) Commodity Broker (as defined in 11 U.S.C. § 101(6)) Clearing Bank (as defined in 11 U.S.C. § 781(3)) None of the above
		B. Check all that apply: Tax-exempt entity (as described in 26 U.S.C. § 501) Investment company, including hedge fund or pooled investment vehicle (as defined in 15 U.S.C. § 80a-3) Investment advisor (as defined in 15 U.S.C. § 80b-2(a)(11)) C. NAICS (North American Industry Classification System) 4-digit code that best describes debtor. See http://www.uscourts.gov/four-digit-national-association-naics-codes .
В	Under which chapter of the Bankruptcy Code is the debtor filing?	5 3 1 3 Check one: ☐ Chapter 7 ☐ Chapter 9 ☐ Chapter 11. Check all that apply:
	A debtor who is a "small business debtor" must check the first subbox. A debtor as defined in § 1182(1) who elects to proceed under subchapter V of chapter 11 (whether or not the debtor is a "small business debtor") must check the second sub-box.	The debtor is a small business debtor as defined in 11 U.S.C. § 101(51D), and its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,725,625. If this sub-box is selected, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return or if any of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B). ☐ The debtor is a debtor as defined in 11 U.S.C. § 1182(1), its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$7,500,000, and it chooses to proceed under Subchapter V of Chapter 11. If this sub-box is selected, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return, or if any of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
		 A plan is being filed with this petition. Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b). The debtor is required to file periodic reports (for example, 10K and 10Q) with the Securities and Exchange Commission according to § 13 or 15(d) of the Securities Exchange Act of 1934. File the Attachment to Voluntary Petition for Non-Individuals Filin for Bankruptcy under Chapter 11 (Official Form 201A) with this form.
		The debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2. Chapter 12

Voluntary Petition for Non-Individuals Filing for Bankruptcy

page 2

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9. Were prior bankruptcy cases filled by or against the debtor within the last 8 years? If more than 2 cases, attach a separate list. 10. Are any bankruptcy cases pending or being filed by a business partner or an affiliate of the debtor? List all cases. If more than 1, attach a separate list. Case number: It when District of California when O77/16/2020 MM 100 / YYYY 11. Why is the case filed in this district? Check all that apply: Check all that a	Debtor	Professional Financial Name	inve	Case number (if known)
pending or being filed by a business partner or an affiliate of the debtor? List all cases. If more than 1, attach a separate list. **Test Professional Investors Security Fund, Inc.** Dietrict.** **Test Professional Investors Security Fund, Inc.** Dietrict.** Dietrict.** Dietrict.** Dietrict.** Professional Investors Security Fund, Inc.** Dietrict.** Dietrict.** Dietrict.** Case number, if known 20-30579 Check all that apply: Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days immediately praceding the date of this petition or for a longer part of such 180 days than in any other district. A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district for 180 days than in any other district. A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district for 180 days than in any other district. No Yes. Answer below for each property that needs immediate attention. Attach additional sheets if needed attention? It needs to be physically secured or protected from the weather. It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dainy, produce, or securities-related assets or other options). Other Where is the property? Number Street Lity State ZiP Code Is the property insurad? No Yes. Insurance agency Contact name Phone	filed with	by or against the debtor in the last 8 years? ore than 2 cases, attach a		Yes. District
Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other district. A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district. A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district property or personal property or personal property that needs immediate attention. Attach additional sheets if needed attention? Why does the property need immediate attention? (Check all that apply.) It poses or is alleged to pose a threat of imminent and identifiable hazard to public health or safety. What is the hazard? It needs to be physically secured or protected from the weather. Other Where is the property? Number Street Street Is the property insured? No Yes. Insurance agency Contact name Phone	pene busi affili List :	ding or being filed by a iness partner or an iate of the debtor?		Professional Investors Security Fund, Inc. District Northern District of California Professional Investors Security Fund, Relationship Business Partner When 07/16/2020 MM / DD / YYYY
possession of any real property that needs immediate attention. Attach additional sheets if needed that needs immediate attention? Why does the property need immediate attention? (Check all that apply.) It posses or is alleged to pose a threat of imminent and identifiable hazard to public health or safety What is the hazard? It needs to be physically secured or protected from the weather. It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dairy, produce, or securities-related assets or other options). Other Where is the property? Number Street Is the property insured? No Yes. Insurance agency Contact name Phone			\boxtimes_{i}	Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days mediately preceding the date of this petition or for a longer part of such 180 days than in any other istrict.
Statistical and administrative information	poss prop that	session of any real perty or personal property needs immediate		No Yes. Answer below for each property that needs immediate attention. Attach additional sheets if needs Why does the property need immediate attention? (Check all that apply.) It poses or is alleged to pose a threat of imminent and identifiable hazard to public health or safe What is the hazard? It needs to be physically secured or protected from the weather. It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dairy, produce, or securities-related assets or other options). Other Where is the property? Number Street City State ZIP Code Is the property insured? No Yes. Insurance agency Contact name
		Statistical and administ	trativ	e information

Voluntary Petition for Non-Individuals Filing for Bankruptcy

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Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 1 Filed: 07/26/20 Entered: 07/26/20 17:35: 46w.Form. 2000 Case: 20-30604 Doc# 2000 Case: 20-30604 Doc#

Professional Financial Name	Investors, Inc.	Case number (if know	n)
13. Debtor's estimation of available funds		e for distribution to unsecured creditors. e expenses are paid, no funds will be avai	lable for distribution to unsecured creditors
14. Estimated number of creditors	1-49 50-99 100-199 200-999	✓ 1,000-5,000✓ 5,001-10,000✓ 10,001-25,000	25,001-50,000 50,001-100,000 More than 100,000
15. Estimated assets	\$0-\$50,000 \$50,001-\$100,000 \$100,001-\$500,000 \$500,001-\$1 million	\$1,000,001-\$10 million \$10,000,001-\$50 million \$50,000,001-\$100 million \$100,000,001-\$500 million	\$500,000,001-\$1 billion \$1,000,000,001-\$10 billion \$10,000,000,001-\$50 billion More than \$50 billion
16. Estimated liabilities	\$0-\$50,000 \$50,001-\$100,000 \$100,001-\$500,000 \$500,001-\$1 million	\$1,000,001-\$10 million \$10,000,001-\$50 million \$50,000,001-\$100 million \$100,000,001-\$500 million	\$500,000,001-\$1 billion \$1,000,000,001-\$10 billion \$10,000,000,001-\$50 billion More than \$50 billion
Request for Relief, Decl	aration, and Signatures	B	
		statement in connection with a bankrupto . 18 U.S.C. §§ 152, 1341, 1519, and 357	
17. Declaration and signature of authorized representative of debtor	The debtor requests re petition.	lief in accordance with the chapter of title	e 11, United States Code, specified in this
	I have been authorized	to file this petition on behalf of the debto	r.
	I have examined the inf correct.	formation in this petition and have a reason	nable belief that the information is true and
	I declare under penalty of	perjury that the foregoing is true and corr	ect.
	Executed on 07/24/2		Hogan
	Signature of authorized re		name

Voluntary Petition for Non-Individuals Filing for Bankruptcy

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obtor	Professional	Financial	Investors	Inc
ebtor	1 TOTOSSIOTIAI	I manda	mvestors,	1110

Name

Case number (if known)

18. Signature of attorney

X

/s/ Ori Katz

Date

07/24/2020

Signature of attorney for debtor

MM / DD / YYYY

Ori Katz

Printed name

Sheppard Mullin Richter & Hampton LLP

Firm name

Four Embarcadero Center

17th Floor

Number

San Francisco

(415) 774-9100

CA

94111 ZIP Code

State

okatz@sheppardmullin.com

Contact phone

Email address

209561

California State

Bar number

Official Form 201

Voluntary Petition for Non-Individuals Filing for Bankruptcy

page 5

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ACTION BY WRITTEN CONSENT OF THE SOLE DIRECTOR OF PROFESSIONAL FINANCIAL INVESTORS, INC.

The undersigned, being the sole member of the Board of Directors (the "Board") of Professional Financial Investors, Inc., a California corporation (the "Company"), pursuant to Section 307(b) of the California Corporation Code and the Bylaws of the Company (the "Bylaws"), hereby adopts the following resolutions by written consent, effective as of the dated signature below:

FILING OF CHAPTER 11 PETITION

WHEREAS, the Board has considered the financial condition and circumstances of the Company, including without limitation the assets and liabilities of the Company, the strategic alternatives available to the Company, and the impact of the foregoing on the Company's operational performance.

WHEREAS, the Board has reviewed, considered and received the recommendations of the Company's management and the Company's professional advisers as to the relative risks and benefits of a bankruptcy proceeding.

WHEREAS, in the judgment of the Board, it is desirable and in the best interests of the Company, its creditors, its equity holders and other stakeholders, that the Company be authorized and empowered to file, at such time, if any, as is deemed appropriate by an authorized officer of the Company, a voluntary petition for relief (a "Petition") under chapter 11 of title 11 of the United States Code (the "Bankruptcy Code") in the United States Bankruptcy Court for the Northern District of California (the "Bankruptcy Court"), for the purpose of initiating a bankruptcy case (the "Bankruptcy Case") for the Company and restructuring its financial affairs and for all other lawful purposes under the Bankruptcy Code.

Now, THEREFORE, BE IT RESOLVED, that, in the judgment of the Board, it is desirable and in the best interest of the Company, its creditors, its equity holders and other stakeholders, that the Petition be filed on behalf of the Company.

RESOLVED FURTHER, that filing of the Petition on behalf of the Company be, and the same hereby is, approved and adopted in all respects and that Michael Hogan, the Company's Chief Reorganization Officer (the "<u>Authorized Officer</u>"), acting alone, is hereby authorized and empowered on behalf of, and in the name of, the Company, (i) to execute and verify the Petition as well as any other ancillary documents and to cause the Petition to be filed with the Bankruptcy Court, and (ii) to perform any and all such acts as are reasonable, advisable, expedient, convenient, proper or necessary to effect any of the foregoing.

SMRH:4823-4768-0196.3 -1-

RESOLVED FURTHER, that in connection with the foregoing, the Authorized Officer, acting alone, is hereby designated as the individual with primary and/or sole responsibility for handling matters in the Bankruptcy Case.

RESOLVED FURTHER, that the Authorized Officer is authorized and empowered, on behalf of and in the name of the Company to execute, verify and file with the Bankruptcy Court, or cause to be executed, verified and/or filed with the Bankruptcy Court (or direct others to do so on his behalf) all necessary documents, including, without limitation, the petitions, schedules, lists, motions, applications and other papers and documents necessary or desirable in connection with the Bankruptcy Case and to take any and all other actions deemed necessary, proper, or desirable in connection with the Bankruptcy Case, with a view to the successful prosecution of the case.

RESOLVED FURTHER, that the Authorized Officer is authorized and empowered to retain on behalf of the Company, the law firm of Sheppard Mullin Richter & Hampton LLP as bankruptcy counsel, to render legal services to, and to represent the Company in connection with such proceedings and all other related matters in connection therewith, on such terms as the person retaining such firm shall approve.

RESOLVED FURTHER, that the Authorized Officer is authorized and empowered on behalf of, and in the name of, the Company to continue the employment and retention of professionals in the ordinary course and in the Bankruptcy Case to retain and employ other attorneys, accountants, and other professionals, including conflicts counsel, to assist in the Company's Bankruptcy Case on such terms as are deemed necessary, proper, or desirable.

RESOLVED FURTHER, that any and all actions taken by the Authorized Officer pursuant to the foregoing resolutions are hereby approved.

GENERAL AUTHORIZING RESOLUTION

RESOLVED FURTHER, that the officers of the Company be, and each of them hereby is, authorized and directed, for and on behalf of the Company, to take such further action, for the actions set forth herein, and execute such additional documents as each may deem necessary or appropriate to carry out the purposes of the above resolutions.

[Signature page follows]

SMRH:4823-4768-0196.3 -2-

This Action By Written Consent of the Sole Director shall be filed with the minutes of the

proceedings of the Board of Directors of the Company and shall be effective as of the dated

signature below.

IN WITNESS WHEREOF, the undersigned has executed this Action By Written Consent of

the Sole Director as of the dated signature below.

Change alberrase

Charlene Albanese

July 23, 2020 Date:

Professional Financial Investors, Inc.

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Fill in this information to identify the case:						
Debtor name Professional Financial Investors, Inc.						
United States Bankruptcy Court for the: Northern	District of CA (State)					
Case number (If known):	,,					

Check if this is an
amended filing

Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 30 Largest Unsecured Claims and Are Not Insiders

A list of creditors holding the 30 largest unsecured claims must be filed in a Chapter 11 or Chapter 9 case. Include claims which the debtor disputes. Do not include claims by any person or entity who is an *insider*, as defined in 11 U.S.C. § 101(31). Also, do not include claims by secured creditors, unless the unsecured claim resulting from inadequate collateral value places the creditor among the holders of the 20 largest unsecured claims.

	Name of creditor and complete mailing address, including zip code	Name, telephone number, and email address of creditor contact	Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts)	Indicate if claim is contingent, unliquidated, or disputed	claim amount. If total claim amou	ecured claim y unsecured, fill in claim is partially s nt and deduction f ff to calculate uns	secured, fill in for value of	
					Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim	
1	William Levine IRA Services TRAD #515012 2 Snowden Lane Fairfax, CA 94930		Loan		\$682,000	\$499,370	\$182,630	
2	Jones/Zahn 2018 Trust [No address on file]				\$300,000	\$143,548	\$156,452	
3	Joshua Berns 2159 Cantalier Sacramento, CA 95815		Loan		\$500,000	\$381,609	\$118,391	
3	Trisha Waldron 9 Gerstle Court San Rafael, CA 94901		Loan		\$500,000	\$381,609	\$118,391	
5	Peter A. Bagatelos and Anne M.H. Bagatelos Revocable Trust 105 Shooting Star Isle Foster City, CA 94404		Loan		\$244,167	\$138,592	\$105,575	
6	Molino Family Trust 2014 47 Thalia Street Mill Valley, CA 94941		Loan		\$240,000	\$137,784	\$102,216	
7	Alan W. Ziff Revocable Intervivos Trust 2349 Hilltop Court Santa Rosa, CA 95404		Loan		\$150,000	\$53,634	\$96,366	

SMRH:4844-5361-3764.3 -1-

73ZL-319169

D	ebtor Professional Financial Name							
Name of creditor and complete mailing address, including zip code		Name, telephone number, and email address of creditor	Nature of the claim (for example, trade debts, bank loans, professional	Indicate if claim is contingent,	Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim			
		contact	services, and government contracts)	unliquidated, or disputed	Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim	
8	Christopher Berns 9321 168 th Place NE Redmond, WA 98052		Loan		\$350,000	\$264,026	\$85,974	
9	Karen C. Bagatelos 732 Chevery Street San Francisco, CA 94131		Loan		\$104,167	\$20,185	\$83,982	
9	Michael Bagatelos 185 Topaz Way San Francisco, CA 94131		Loan		\$104,167	\$20,185	\$83,982	
11	Anne H. Rohrbach TTEE The Annie Rohrbach Revocable Trust, dtd. May 26, 2010 2732 Houston Drive Los Osos, CA 93402		Loan		\$100,000	\$19,377	\$80,623	
12	Eugene Ziff 2349 Hilltop Court Santa Rosa, CA 95404		Loan		\$320,000	\$247,935	\$72,065	
13	Elizabeth Moore IRA Services #726874 13 Baytree Lane San Anselmo, CA 94960		Loan		\$300,000	\$228,966	\$71,034	
14	John A. or Kathryn Trimble 235 Main Burfordville Street Burfordville, MO 63739		Loan		\$87,000	\$16,858	\$70,142	
15	Kevin P. Shambrook and Frances H. Lerner Revocable Trust 2625 Brooks Ave. El Cerrito, CA 94530		Loan		\$100,000	\$35,756	\$64,244	
15	Claire Peaslee [No address on file]		Loan		\$100,000	\$35,756	\$64,244	

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Lauren Vela Pensco #VE056

639 Magnolia Avenue Larkspur, CA94939

73ZL-319169

\$54,420

\$67,500

\$13,080

Loan

Debtor	Professional Financial Investors, Inc.	Case number (if known)
	Name	

Name of creditor and complete mailing address, including zip code		Name, telephone number, and email address of creditor contact	Nature of the claim (for example, trade debts, bank loans, professional	Indicate if claim is contingent,	Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim		
			services, and government contracts)	unliquidated, or disputed	Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
18	Shane Black 359 Wilson Way Larkspur, CA 94939		Loan		\$60,000	\$11,627	\$48,373
19	Sharon L. Overbey Revocable Trust P.O. Box 1114 Mt. Shasta, CA 96067		Loan		\$73,765	\$26,375	\$47,390
20	Javier Family Trust 2006 (Arthur & Laurie) 27 Olive Street Novato, CA 94945		Loan		\$69,000	\$24,672	\$44,328
21	Kiah Bosy P.O. Box 921 Fairfax, CA 94978		Loan		\$50,000	\$9,689	\$40,311
21	H. Robert Noble 60 Ora Way \$203 San Francisco, CA 94131		Loan		\$50,000	\$9,689	\$40,311
21	Clyde S. Sada Living Trust 460 Navaro Way San Jose, CA 95134		Loan		\$50,000	\$9,689	\$40,311
24	Meridian Commercial 711 Grand Ave., Suite 290 San Rafael, CA 94901		Trade debt		\$40,000		\$40,000
25	Mindy Klein P.O. Box 801 Fairfax, CA 94978		Loan		\$147,500	\$108,002	\$39,498
26	Joel Rubenzahl IRA Services TRAD #732345 3159 Lewiston Ave. Berkeley, CA 94705		Loan		\$60,000	\$21,454	\$38,546
27	Robert N. Deross, Jr. 5061 Tesoro Way El Dorado Hills, CA 95760		Loan		\$130,000	\$95,188	\$34,812
28	Laura Kradjan-Cronin 58 Club View Drive Novato, CA 94949		Loan		\$50,000	\$17,878	\$32,122
29	Dominique Shelton P.O. Box 1849 Kihei, HI 96753		Loan		\$108,000	\$79,080	\$28,920

SMRH:4844-5361-3764.3

73ZL-319169

-3-

D	Professional Financial I	Investors, Inc.	Case	e number (<i>if kno</i> v	vn)		
Name of creditor and complete mailing address, including zip code Name, telephone number, and email address of creditor contact		debts, bank loans, professional	Indicate if	Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim			
		Somust	services, and government contracts)	or disputed	Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
30	Mary Durst Trust 313 Kensington Commons Livermore, CA 94551		Loan		\$100,000	\$73,222	\$26,778
30	Suki & Russell Munsell 524 San Anselmo Ave. #222 San Anselmo, CA 94960		Loan		\$100,000	\$73,222	\$26,778
30	Janet Rostad TTEE Janet Rostad Rovacble Trust U/A 5/24/12 1090 Bell Marin Keys Blvd.		Loan		\$100,000	\$73,222	\$26,778

Fill in this information to identify the case and this filing:					
Debtor Name Professional Fina	incial Investors, Inc.				
United States Bankruptcy Court for the:		District of CA			
Case number (If known):		(State)			

Declaration Under Penalty of Perjury for Non-Individual Debtors

12/15

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

Declaration and signature

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

	Schedule A/B: Assets–Real and Personal Property (Official Form 206A/B)				
	Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D)				
	Schedule E/F: Creditors Who Have Unsecured Claims (Official Form 206E/F)				
	Schedule G: Executory Contracts and Unexpired Leases (Official Form 206G)				
	Schedule H: Codebtors (Official Form 206H)				
	Summary of Assets and Liabilities for Non-Individuals (Official Form 206Sum)				
	Amended Schedule				
X	Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 30 Largest Unsecured Claims and Are Not Insiders (Official Form 204				
X	Other document that requires a declaration Creditor Mailing Matrix				
I declare under penalty of perjury that the foregoing is true and correct					
Exe	cuted on 07/26/2020 MM / DD / YYYYY Michael Hogan 71376210E0AC421 Signature of individual signing on behalf of debtor				
	Michael Hogan Printed name				
	Chief Restructuring Officer				
	Position or relationship to debtor				



UNITED STATES BANKRUPTCY COURT NORTHERN DISTRICT OF CALIFORNIA

In re Professional Financial Investors, Inc		Case No.	
Debtor(s)		Chapter	11
CORPORATE OWNERSHI	P STATEMI	ENT (RULE 7007.1)	
Pursuant to Federal Rule of Bankrupto evaluate possible disqualification or recusal, to captioned debtor, certifies that the following is governmental unit, that directly or indirect corporation's(s') equity interests, or states that to	the undersign s a (are) corp ly own(s) 1	ned authorized officer poration(s), other than 10% or more of an	for the above- the debtor or a y class of the
⊠ None [Check if applicable]			
Date July 26, 2020	Signature	Name: Chief Restruc	

-1-SMRH:4834-9908-2180.1

350 Ignacio Condominium 350 Ignacio Blvd 300 Novato, CA 94949

Avilas 359 Bel Marin Keys Blvd. Ste. 28 Novato, CA 94523

Bay Cities Refuse Service, Inc. 2525 Garden Tract Road Richmond, CA 94801

Bidaurreta Landscape P.O. Box 2719 Sebastopol, CA 95473

Gordon Cato Landscape 1443 Meadowlark Lane Petaluma, CA 94954

Nardell Chitsaz & Associates LLP 999 Fifth Avenue, Suite 230 San Rafael, CA 94901

City of Novato 922 Machin Avenue Novato, CA 94945

Home Depot Credit Service PO Box 78047 Phoenix, AZ 85062-8047

Dominguez 18235 Happy Lane Sonoma, CA 95476

EIS Consulting Group, Inc. 1445 Manzanita Avenue Santa Rosa, CA 95404

Marc Elias Maintenance 108 Coleman Drive San Rafael, CA 94901

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Fishman Supply Company PO Box 750279 Petaluma, CA 94975-0279

Golden Gate Investigations 2 Rosalia Court Novato, CA 94945

Ignacio Hills Association C/O Marshal & Company 2175 Francisco Blvd, Suite A San Rafael, CA 94901

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